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1. General

1.1 Purpose and Scope

The purpose and scope of this Rider is to describe the Acceptance Process as agreed to by the State and the Business Partner for the Acceptance Deliverables to be delivered by the Business Partner pursuant to this Contract.

1.2 Definitions

1.2.1 "Accept" and "Acceptance"

"Accept" and "Acceptance" means the formal determination by the State to the Business Partner that an Acceptance Deliverable either Conditionally or Unconditionally meets the applicable Acceptance Criteria, pursuant to this Rider I.

1.2.2 "Acceptance Criteria"

"Acceptance Criteria" means the criteria used by the State to determine Acceptance of an Acceptance Deliverable.

1.2.3 "Acceptance Notice"

"Acceptance Notice" means the written notice signed by the State accepting, accepting with conditions or rejecting a particular Acceptance Deliverable, as more particularly described in this Rider I.

1.2.4 "Acceptance Process"

"Acceptance Process" means the set of activities and procedures described in this Rider I and used by the State to determine whether an Acceptance Deliverable meets the applicable Acceptance Criteria.

1.2.5 "Acceptance Deliverable"

"Acceptance Deliverable" means:

- (a) Infrastructure Deliverables are:
 - (i) the Equipment, as described in Rider B;
 - (ii) COTS Software, as described in Rider C;
 - (iii) Facilities, as described in Rider G;

- (b) CDL Items, as described in Rider G;
- (c) Project Progress Reviews are:
 - (i) Project Management Reviews
 - (ii) Technical Progress Reviews
 - (iii) Implementation Readiness Review
 - (iv) Operational Readiness Assessment and Review
- (d) System Deliverables are:
 - (i) CSE Version 1, as described in Rider G;
 - (ii) CSE Version 2, as described in Rider G:
 - (iii) Legacy Data Archive Database, as described in Rider G.

1.2.6 "Deficiency"

"Deficiency" means a non-compliance with the Acceptance Criteria.

1.2.7 "Production Validation Review"

"Production Validation Review" means reviews conducted pursuant to Section 2.4 in this Rider I by the State to determine if the System Deliverables meet the Acceptance Criteria.

1.3 Development of Acceptance Criteria

1.3.1 Acceptance Process.

The Acceptance Process for Acceptance Deliverables is described in the following sections as follows:

Table 1.3-1

Acceptance Deliverable	Acceptance Process Section Reference
Equipment	Rider I, Section 2.1.1, Equipment Acceptance Review Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
COTS Software	Rider I, Section 2.1.2, COTS Software Acceptance Review Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
Facilities	Rider I, Section 2.1.3, Facilities Acceptance Review Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
CDL Items	Rider I, Section 2.2.1, CDL Item Acceptance Review Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
Project Management Reviews	Rider I, Section 2.3.1, Project Management Reviews
Technical Progress Reviews	Rider I, Sections 2.3.2, Technical Progress Reviews Acceptance Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options

Acceptance Deliverable	Acceptance Process Section Reference
Implementation Readiness Reviews	Rider I, Section 2.3.3, Implementation Readiness Reviews Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
Operational Readiness Assessment and Reviews	Rider I, Section 2.3.4, Operational Readiness Assessment and Reviews Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
CSE Version 1	Rider I, Section 2.4.1, CSE Version 1 Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
CSE Version 2	Rider I, Section 2.4.2, CSE Version 1 Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options
Legacy Data Archive Database	Rider I, Section 2.4.3, Legacy Data Archive Database Rider I, Section 1.5, General Acceptance Provisions Rider I, Section 1.6, The State's Acceptance Options

1.3.2 Acceptance Criteria

The Acceptance Criteria for the Acceptance Deliverables are identified in the following table:

Table 1.3-2

Acceptance Deliverable	Acceptance Criteria Section Reference
Equipment	Rider I, Section 2.1.1, Equipment Acceptance Review Specification as defined in Section 1.1.5 of Rider G

COTS Software	Rider I, Section 2.1.2, COTS Software Acceptance Review, of Rider I Specification as defined in Section 1.1.5 of Rider G
Facilities	Rider I, Section 2.1.3, Facilities Acceptance Review Specification as defined in Section 1.1.5 of this Rider G
CDL Items	Rider I, Section 2.2.1, CDI Item Acceptance Review Rider I, Section 1.3.2.1, Additional Acceptance Criteria
Project Management Reviews	Rider I, Section 2.3.1, Project Management Reviews Rider I, Section 1.3.2.1, Additional Acceptance Criteria
Technical Progress Reviews	Rider I, Section 2.3.2, Technical Progress Review Acceptance Rider I, Section 1.3.2.1, Additional Acceptance Criteria
Implementation Readiness Reviews	Rider I, Section 2.3.3, Implementation Readiness Reviews Rider I, Section 1.3.2.1, Additional Acceptance Criteria
Operational Readiness Assessment and Reviews	Rider I, Section 2.3.4, Operational Readiness Assessment and Reviews Rider I, Section 1.3.2.1, Additional Acceptance Criteria
CSE Version 1	Rider I, Section 2.4.1, CSE Version 1 Rider I, Section 1.3.2.1, Additional Acceptance Criteria
CSE Version 2	Rider I, Section 2.4.2, CSE Version 2 Rider I, Section 1.3.2.1, Additional Acceptance Criteria

Legacy Data Archive Database	Rider I, Section 2.4.3, Legacy Data Archive Database Rider I, Section 1.3.2.1, Additional Acceptance Criteria
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1.3.2.1 Additional Acceptance Criteria.

Unless superceded by an Accepted CDL Item (described in Section 1.3.3 below), The Acceptance Criteria for Acceptance Deliverables shall be (1) the Specifications as defined in Rider G Section 1.1.5, (2) any additional applicable industry standards as agreed upon by the parties, and (3) the following criteria:

- (a) the content assumes timely delivery of the CSE System, or any other Acceptance Deliverable, consistent with the Project Schedule (CDL PM 009);
- (b) is consistent with the staffing or other commitments of State resources required of the State as a State responsibility, as more particularly described in Rider G Section 7, State Responsibilities, and any applicable SOW;
- (c) the location or manner of performance of services described in a CDL Item is reasonably acceptable to the State;
- (d) is consistent with the CDL Item Description for such CDL Item and the Specifications for that Acceptance Deliverable;
- (e) sets forth business procedures or processes at the State which are consistent with then-current business procedures or processes or with business procedures or processes that the State is willing to adopt;
- (f) complies with any applicable Standard, as may be tailored by mutual agreement, identified in the CDL Item Description, TMA or PMA, if any.

1.3.3 Accepted CDL Items

The Accepted CDL Item will constitute Acceptance Criteria with respect to the subject matter described therein but only to the extent that, the content of the Accepted CDL Item is the content required in the applicable CDL Item Description.

1.3.4 Specified Standards

Where a CDL Item Description relies upon or requires compliance with one or more Standard(s), the terms “needs”, “requirements”, “constraints” and similar terms used in such Standard shall be interpreted to mean the needs, requirements, and constraints as are more particularly described in the Specifications, as defined in Rider G. The State may waive any one or more provisions of an applicable Standard if the State determines, in its discretion, that such provision is not required or not applicable to the CDL Item in question.

1.4 Timing of the Acceptance Process

The Acceptance Process begins when an Acceptance Deliverable is properly submitted to the State for Acceptance. The duration of the Acceptance Process is specified (a) for CDL Items within the CDL Item Description contained within Rider G, and (b) for all other Acceptance Deliverables, the time period set forth in this Rider I. If no time frame is specified the Acceptance Process duration will be thirty (30) calendar days. The duration of the Acceptance Process may be amended as mutually agreed to by the parties in writing.

1.5 General Acceptance Provisions

1.5.1 Notice of Acceptance Process Results

On or before the expiration of the time period set forth in Section 1.4, the State will provide the Business Partner with an Acceptance Notice describing in reasonable detail any Deficiencies identified by the State. If the Business Partner does not receive an Acceptance Notice from the State within five (5) state business days from the expiration of the time period set forth in Section 1.4, the Business Partner shall issue a written notice (“Reminder Notice”) to the State indicating the State’s failure to provide the Business Partner an Acceptance Notice. If the State does not provide any Acceptance Notice within five (5) state business days after its receipt of the Reminder Notice, the Acceptance Deliverable will be deemed to be Unconditionally Accepted by the State, as defined in Section 1.6.1 of this Rider I. All notices shall be given pursuant to Paragraph 9 of Terms and Conditions of this Contract.

1.5.2 Deficiency Correction Period

If the Acceptance Notice delivered under Section 1.5.1 identifies Deficiencies, the Business Partner shall be allowed a reasonable time within which to correct the identified Deficiencies, as described in this Section 1.5.2. The State shall allow the Business Partner to take

corrective action (which corrective actions and timeframes are developed by mutual agreement by the parties), to address the Deficiencies described in the State's Acceptance Notice or in a Corrective Action Plan approved by the State, if required by Section 1.6. The State's Acceptance Notice or, if the State requires a Corrective Action Plan pursuant to Section 1.6.2 below, the approved Corrective Action Plan, will describe (i) the time within which the Business Partner will be permitted to correct the identified Deficiencies, (ii) the time period for the State to review such re-submitted Acceptance Deliverable, (iii) and the time period, if any, for the delivery of additional notices of Deficiencies and resubmissions. Accordingly, the Business Partner will promptly correct and re-issue the Acceptance Deliverable and the State shall review such Acceptance Deliverable in the identified time period. The correction and review will be limited to confirming the resolution of the identified Deficiencies and confirming that no new Deficiencies have been introduced. If the identified Deficiencies have been corrected and no new Deficiencies have been introduced, the State will issue an Acceptance Notice indicating its Unconditional Acceptance of the applicable Acceptance Deliverable. If any previously identified and reported Deficiency remains, this process will recur for the identified time period or such other period as may be agreed to by the parties in writing.

1.5.3 Remedy for failure to correct Deficiencies

If the Business Partner is unable to correct any Deficiency that prevents the State from Unconditionally Accepting the Acceptance Deliverable in the time set forth in Section 1.5.2, the State may implement one of the following options:

- (a) extend the Acceptance Process by delivery of notice to that effect or by amending the Corrective Action Plan (if appropriate), or taking such other actions as the parties may mutually agree; or
- (b) Accept the Acceptance Deliverable in which event the State may adjust Compensation pursuant to Rider D; or
- (c) Reject such Acceptance Deliverable and withhold any related Compensation.

Nothing herein shall be construed to affect either party's right to exercise its other rights and remedies as provided in Section 60 of the terms and conditions of this Contract.

1.6 The State's Acceptance Options

The State's determination of whether or not an Acceptance Deliverable has satisfied the Acceptance Criteria can be expressed as one of three options described in this Section 1.6. Compensation for Accepted Acceptance Deliverables is described in Rider D.

1.6.1 Unconditional Acceptance

Unconditional Acceptance of an Acceptance Deliverable means that the Acceptance Deliverable meets its Acceptance Criteria and does not require any significant corrective actions by the Business Partner. In such case, the State will notify the Business Partner that the Acceptance Deliverable has been "Unconditionally Accepted" by the delivery of a written Acceptance Notice in accordance with this Rider I, which shall describe any remaining identified Deficiencies and the State's required correction of such Deficiencies.

1.6.2 Conditional Acceptance

- (a) Conditional Acceptance of an Acceptance Deliverable means that the Acceptance Deliverable fails to meet the Acceptance Criteria, but Acceptance is granted on the condition that specified Deficiencies will be corrected in accordance with conditions described in the Acceptance Notice or in a Corrective Action Plan, if any, approved by the State. In such case, the State will notify the Business Partner that the Acceptance Deliverable (i) will be "Conditionally Accepted" upon approval of any required Corrective Action Plan, or (ii) has been "Conditionally Accepted", by the delivery of a written Acceptance Notice in accordance with this Rider I, which shall describe any remaining identified Deficiencies and whether the State requires a Corrective Action Plan under this Section. The provisions of Section 1.5 will apply.
- (b) If a material Deficiency exists, the Business Partner will prepare a Corrective Action Plan. If no material Deficiency exists, the State may require the Business Partner to submit a Corrective Action Plan, in the State's reasonable discretion, taking into account the nature and extent of the Deficiencies, their effect on any "critical path" deliverables, the resources available to the parties at the time and the Business Partner's proposed approach to correcting the Deficiencies. Any such Corrective Action Plan is subject to approval by the State.

1.6.3 Rejection

Rejection of an Acceptance Deliverable means that the Acceptance Deliverable does not meet the Acceptance Criteria for that Acceptance Deliverable. In such case, the State will notify the Business Partner that the Acceptance Deliverable has been “Rejected” by the delivery of a written Acceptance Notice in accordance with this Rider I, which shall describe any identified Deficiencies and the State’s required correction of such Deficiencies. The provisions of Section 1.5 will apply.

2. Acceptance Process for Acceptance Deliverables

2.1 Acceptance Reviews – Infrastructure Deliverables

2.1.1 Equipment Acceptance Review

The items of Equipment to be installed are described in Rider B. The Equipment Acceptance Review consists of the following activities and Acceptance Criteria:

- (a) an examination of the Equipment and an examination of the installation of the Equipment to verify installation in accordance with the Hardware and Software Installation Plan (CDL TM 067), and any other applicable Accepted CDL Item;
- (b) an examination of all Equipment to ensure the installation checklist is completed;
- (c) Equipment inventory is accounted for and placed by the parties under configuration management;
- (d) Connectivity is verified.

2.1.2 COTS Software Acceptance Review

The COTS Software to be installed is described in Rider C. The COTS Software Acceptance Review consists of the following activities and Acceptance Criteria:

- (a) an examination of the COTS Software and an examination of the installation of the COTS Software to verify installation in accordance with the Hardware and Software Installation Plan (CDL TM 067), and any other applicable Accepted CDL Item;

- (b) an examination of all COTS Software items to ensure the installation checklist is completed;
- (c) COTS Software inventory is accounted for and placed by the parties under configuration management.

2.1.3 Facilities Acceptance Review

The Facilities to be provided pursuant to this Contract by the Business Partner are described in Rider G. The Facilities Acceptance Review consists of a walkthrough of the facilities to verify the following:

- (a) With respect to all Facilities, the facilities are ready for use, including without limitation telephones, networks, workstations, and office space are configured in accordance with the applicable CDL Item, if any;
- (b) With respect to all Facilities other than the Permanent Project Facility, Equipment and COTS Software located at such facility has been Accepted by the State;
- (c) With respect to all Facilities other than the Permanent Project Facility, such facility otherwise meets the Acceptance Criteria in the applicable Accepted CDL Item, if any, or in Rider G.

The State will deliver an Acceptance Notice within ten (10) state business days after proper notification to the State from the Business Partner that the Facility is ready for review.

2.2 Acceptance Reviews – CDL Items.

2.2.1 CDL Item Acceptance Review

The State CDL Item Acceptance Review will consist of an examination and review of the CDL Item to determine if it:

- (a) supports traceability to the Specifications, if applicable;
- (b) The content of the deliverable is internally consistent;
- (c) Meets the Additional Acceptance Criteria more particularly described in Section 1.3.2.1;
- (d) is externally consistent with the content of related CDL Items;

- (e) is externally consistent with other project factors, including without limitation project tools, known issues, risks, and resource plans;
- (f) clearly communicates its contents, including a natural and logical flow and consistency within the context of the overall deliverable.
- (g) provides sufficient detail to describe how defined work will be accomplished.

2.3 Project Progress Reviews

2.3.1 Project Management Reviews

Project Management Reviews are conducted on a quarterly basis by the Business Partner to allow the State to evaluate the project management and technical management Services being provided by the Business Partner, as set forth in Rider G. Project Management Reviews are used to assist the State in determining the overall project status including whether the project issues are being addressed and resolved, any necessary corrective action is occurring in a timely manner and whether the CCSAS CSE Project is proceeding as scheduled.

The Acceptance Process for the Project Management Reviews is completed upon delivery of the appropriate Balanced Scorecard, as described in Rider D which shall include a determination that the Project Management Review meets the Additional Acceptance Criteria described in Section 1.3.2.1. Upon notice of the results of Project Management Reviews, subsequent actions will be governed by the provisions of Rider D. The provisions of Section 1.5 of this Rider I do not apply.

2.3.2 Technical Progress Reviews Acceptance

- (a) The Business Partner shall perform Technical Progress Reviews in accordance with the applicable SOWs for the following: System Requirements Review, System Design Review, Software Requirements Review, Software Design Review and System Verification Test Readiness Review.
- (b) The State shall Accept a Technical Progress Review based on whether the Business Partner has met the Acceptance Criteria below:
 - (i) The scope of the Technical Progress Review was consistent with the State Accepted agenda
 - (ii) The Additional Acceptance Criteria described in Section 1.3.2.1;

- (iii) The Technical Progress Review entry and exit criteria, including the Technical Progress Review Minutes as specified in the System Engineering Management Plan are satisfied; and
- (iv) The work-to-date for the Business Partner's scope of work as set forth in Rider G represents reasonable progress toward completion of the CDL Item under review, if any, and is sufficient to allow work to proceed without re-conducting the Technical Progress Review in whole or in part.

The State will deliver an Acceptance Notice within seven (7) state business days after the completion of the Technical Progress Review. If the State determines that the Deficiencies are not sufficient to require re-conducting a Technical Progress Review, the State will Accept the Technical Progress Review.

If the identified Deficiencies require that the Business Partner re-conduct the Technical Progress Review either in whole or in part, the State will Reject the Technical Progress Review. The provisions of Section 1.5 will apply.

2.3.3 Implementation Readiness Reviews

Implementation Readiness Reviews (IRR) are conducted to assess the degree of completion of efforts related to moving users from an existing system to a new system (CASES or CSE System) before proceeding with that cutover.

- (a) The State shall Accept an IRR based on whether the Business Partner has met the Acceptance Criteria below:
 - (i) related CDL Items have been Accepted by the State;
 - (ii) required facilities and technical infrastructure are in place and have been Accepted by the State, if any;
 - (iii) activities on Pre-Implementation Readiness Assessment checklists developed in accordance with the CDL Item TM 038 "Pre-Implementation Readiness Assessment" are completed;
 - (iv) The Additional Acceptance Criteria described in Section 1.3.2.1;
 - (v) The scope of the IRR was consistent with the agenda; and

- (vi) The State determines that work-to-date is sufficient to allow cutover to proceed.

The State will deliver an Acceptance Notice within five (5) state business days after the completion of the IRR. If the State determines that the Deficiencies are not sufficient to require re-conducting an IRR, the State will Accept the IRR.

If the identified Deficiencies require that the Business Partner re-conduct the IRR, the State will Reject the IRR. The provisions of Section 1.5 will apply.

The IRR is one element of the State's decision to implement. Independent of the State's Acceptance of the IRR, the State may make its cutover Go/No Go decision.

2.3.4 Operational Readiness Assessment and Reviews

Operational Readiness Assessment and Reviews (ORAR) are conducted to assess the degree of completion of efforts related to major implementation milestones before proceeding with that implementation.

- (a) The State shall Accept an ORAR based on whether the Business Partner has met the Acceptance Criteria below:
 - (i) Related Acceptance Deliverables needed for production have been Accepted by the State;
 - (ii) CSE System functionality for CSE Version 1 meets relevant Specifications and the criteria more particularly described in Section 2.4.1.1 for the Implementation phase that is the subject of the ORAR, as demonstrated by pre-production tests, including the System Qualification Tests;
 - (iii) CSE System functionality for CSE Version 2 meets relevant Specifications as demonstrated by pre-production tests, including the System Qualification Test;
 - (iv) Severity 1 and Severity 2 Defects (as defined in Rider D) discovered during testing have been corrected and a State approved plan is in place to resolve Severity 3 and Severity 4 Defects (as defined in Rider D);
 - (v) Required facilities and technical infrastructure are in place and have been Accepted by the State, if any;

- (vi) Business Partner responsibilities on approved Pre-Implementation Readiness Assessment developed in accordance with CDL Item TM 038 "Pre-implementation Readiness Assessment" checklists are completed, where applicable;
- (vii) The Additional Acceptance Criteria described in Section 1.3.2.1;

The State shall deliver an Acceptance Notice within ten (10) state business days after completion of the ORAR. If the State determines that any identified Deficiencies, are not sufficient to require re-conducting of the ORAR the State will Accept the ORAR.

If the identified Deficiencies require the ORAR to be re-conducted the State will either Conditionally Accept or Reject the ORAR. The provisions of Section 1.5 will apply. The State will not Reject an ORAR based on Deficiencies that require no corrective action by the Business Partner (other than corrective action dependent only upon unfulfilled State responsibilities). Acceptance of the ORAR will be independent of the State's Go/No-Go decisions in the ORAR which may take into account factors beyond the contractual responsibility or reasonable control of the Business Partner.

2.4 Acceptance of CSE System.

2.4.1 CSE Version 1

The State shall conduct the CSE Version 1 Production Validation Review within sixty (60) calendar days of In-production Use of the CSE Version 1, Implementation 2 as defined in Rider D. The Acceptance of CSE Version 1 is based on the results of the Production Validation Review. The Production Validation Review will validate that:

- (a) CSE Version 1 meets Acceptance Criteria contained in Section 2.4.1.1, CSE Version 1, Implementation 1 and Implementation 2 Acceptance Criteria;
- (b) The Additional Acceptance Criteria described in Section 1.3.2.1 have been met;
- (c) All Severity 1 and Severity 2 Defects (as defined in Rider D) discovered in production have been corrected and a State approved plan is in place to resolve Severity 3 and Severity 4 Defects (as defined in Rider D);

- (d) CSE Version 1 meets the performance and availability requirements as specified in the Accepted Performance and Capacity Management Plan; and
- (e) The twenty-one counties have been converted to CASES (seven counties are the responsibility of the State).

The State shall deliver an Acceptance Notice within ten (10) state business days after the completion of the Production Validation Review. If the criteria are met and the State determines that any identified Deficiencies are not sufficient to require re-conducting of the Production Validation Review, the State will Accept CSE Version 1. The provisions of Section 1.5 of this Rider I apply.

2.4.1.1 CSE Version 1 Acceptance Criteria.

CSE Version 1 Acceptance Criteria consist of the following:

CSE Version 1 Acceptance Criteria	Business Partner Responsibility	State Responsibility
Implementation 1		
1. ARS and CASES manage case, member, and account information, including distribution results and court order obligations in accordance with ACF requirements identified in the Business Requirements: 1.1 ARS and CASES have electronic interfaces with county IV-A agencies; 1.2 ARS and CASES incorporate the outcome of the DCSS data reliability initiative; 1.3 ARS and CASES use standard data elements as defined by DCSS; and 1.4 ARS and CASES use standardized forms as defined by DCSS.	Design coordination support acceptance test	Develop and implement ARS & CASES changes
2. Statewide Services use standard data elements as defined by DCSS.	Design develop and implement	Define standard elements

CSE Version 1 Acceptance Criteria	Business Partner Responsibility	State Responsibility
3. ARS and CASES send to Statewide Services consortia case, financial and member information via electronic interfaces: 3.1 Case Participant Updates; 3.2 Case Updates; 3.3 Court Participant Updates; 3.4 Court Updates; 3.5 Participant Updates; 3.6 Participant Address Updates; 3.7 Participant DOB Updates; 3.8 Participant Driver's License Number Updates; 3.9 Participant Employment Updates; 3.10 Participant Name Updates; 3.11 Participant SSN Updates; 3.12 Employer Address Updates; 3.13 Employer Updates; 3.14 Fund Reconciliation Summary Data; 3.15 Enforcement Action Updates; and 3.16 Balance Updates.	Design coordination support acceptance test	Develop and implement ARS & CASES changes
4. Statewide Services sends to ARS and CASES Statewide case, financial and member information via electronic interfaces: 4.1 Action Transactions; 4.2 Allocation Updates; 4.3 Adjustment Updates; 4.4 Electronic Funds Transfer account data; and 4.5 Employer/Address.	Design develop and implement	Coordination and support acceptance test
5. Statewide Services provides LCSA staff with online access to case and member data maintained in the SCR.	Design develop and implement	Training help desk (level 1) administration
6. Statewide Services has electronic interfaces with the SDU to receive collection information for all types of collection payments processed by the SDU and the functionality to forward all of these collection payments to counties statewide.	Design develop and implement	Coordination and support acceptance test
7. Statewide Services has electronic	Design	Coordination and

CSE Version 1 Acceptance Criteria	Business Partner Responsibility	State Responsibility
interfaces with the SDU to receive: 7.1 IRS negative adjustments; 7.2 Collection suspense updates; 7.3 Unfunded collections; and 7.4 ACH/EPC information.	develop and implement	support acceptance test
8. Statewide Services has electronic interfaces with the SDU to provide: 8.1 Participant match data; 8.2 Refuse check instructions; 8.3 Suspense notifications; 8.4 Instructions for NSF letters; and 8.5 ACH/EPC information rejects.	Design develop and implement	Coordination and support acceptance test
9. ARS and CASES perform member level distribution and send disbursement instructions to the SDU and receive disbursement status updates from the SDU.	Design coordination support acceptance test	Develop and implement ARS & CASES changes
10. Statewide Services receives report information via electronic interfaces with CADS and MSPR, provides the ability to roll-up county data for federal reports, and prepares federal reports.	Design develop and implement	Coordination and support acceptance test
11. ARS and CASES have electronic interfaces with IDB for arrears submissions to the intercept agencies (FMS, FTB, EDD, and Lottery).	Coordination support for integration test	Integration test
12. ARS and CASES have electronic interfaces with IDB for license match (SLMS) and credit reporting (CRS).	Coordination support for integration test	Integration test
13. ARS and CASES have electronic interfaces with Child Support Recovery (CSR) System for arrears collection and for FIDM purposes.	Coordination support for integration test	Integration test
Implementation 2		
14. Statewide Services has electronic interfaces with the SDU to receive collection information for all types of collection payments processed by the SDU and the functionality to allocate the appropriate collection payments to counties statewide.	Design develop and implement	Coordination and support acceptance test
15. Statewide Services has electronic interfaces with the SDU to provide:	Design develop and implement	Coordination and support

CSE Version 1 Acceptance Criteria	Business Partner Responsibility	State Responsibility
15.1 Disbursement instructions; and 15.2 Instructions for letters.		acceptance test
16. Statewide Services has electronic interfaces with the SDU to receive 16.1 Disbursement status information.	Design develop and implement	Coordination and support acceptance test
17. ARS and CASES send to Statewide Services consortia case, financial and member information via electronic interfaces: 17.1 CSENet trigger updates; and 17.2 Locate requests.	Design coordination support acceptance test	Develop and implement ARS & CASES changes
18. Statewide Services electronically interfaces with other states via CSENet for: 18.1 case status and locate (CS1 and LO1); and 18.2 initial pilot State for managing State cases, establishment, enforcement and paternity.	Design develop and implement	Coordination and support acceptance test
19. Statewide Services has electronic interfaces with Federal Case Registry (FCR) for exchanging IV-D and non IV-D data.	Design develop and implement	Coordination and support acceptance test
20. Statewide Services sends to ARS and CASES Statewide case, financial and member information via electronic interfaces: 20.1 CSENet transactions; 20.2 FCR locate responses; 20.3 CPLS locate responses; and 20.4 EDD locate responses Includes locate data obtained by a county and provided to other counties that have the need for that information.	Design develop and implement	Coordination and support acceptance test
21. Statewide Services contains non- IV-D data in the SCR and provides on-line data entry screens to enable staff to access and add non-IV-D cases and to maintain the non-IV-D data.	Design develop and implement	Coordination and support acceptance test
22. There is only one point of contact in the State that receives and automatically processes and refers	Design coordination support acceptance test	Develop and implement ARS & CASES changes

CSE Version 1 Acceptance Criteria	Business Partner Responsibility	State Responsibility
through the system interstate case referrals and that monitors and tracks case activity on all interstate and intrastate cases within the State.		
23. Statewide Services provides CCR staff with on-line access to SCR to support status tracking and responding to inquiries on interstate case referrals.	Design develop and implement	Training help desk (level 1) administration
Implementation 3		
24. LCSA staff have access to a single guideline calculator.	Design develop and implement	Coordination and support acceptance test

2.4.2 CSE Version 2

The State shall conduct the CSE Version 2 Production Validation Review. The CSE Version 2 Production Validation Review will be conducted at least thirty (30) calendar days after full implementation of the CSE Version 2 but no later than sixty (60) calendar days after Federal Certification. The Acceptance of CSE Version 2 is based on the results of the Production Validation Review. The Production Validation Review will validate that:

- (a) All facilities, equipment and COTS Software have been Accepted by the State;
- (b) CSE Version 2 functionality is fully implemented and meets relevant Specifications as demonstrated by pre-production test results, including the System Qualification Test;
- (c) The Additional Acceptance Criteria described in Section 1.3.2.1 have been met;
- (d) The State has received Federal Certification on the basis of CSE Version 2, and any Business Partner responsibilities relating to conditions tied to that certification have been fulfilled by the Business Partner;
- (e) All Severity 1 and Severity 2 Defects (as defined in Rider D) discovered in production have been corrected and a State approved plan is in place to resolve Severity 3 and Severity 4 Defects (as defined in Rider D);

- (f) CSE Version 2 meets performance and availability requirements as specified in the Accepted Performance and Capacity Management Plan; and
- (g) All 58 counties have been converted to CSE Version 2 and are using it for their daily operations.

The State shall deliver an Acceptance Notice within ten (10) state business days after the completion of the CSE Version 2 Production Validation Review. If the State determines that any identified Deficiencies are not sufficient to require re-conducting of the Production Validation Review, the State will Accept CSE Version 2. The provisions of Section 1.5 of this Rider I apply.

2.4.3 Legacy Data Archive Database

The State shall conduct a Production Validation Review for the Legacy Data Archive Database upon written notification by the Business Partner that the Legacy Data Archive Database has been established in accordance with Rider G. The State shall determine Acceptance of the Legacy Data Archive Database based on the following criteria:

- (a) The Legacy Data Archive Plan and Legacy Database Archive Design Description have been Accepted;
- (b) The Legacy Database satisfies the Legacy Database Archive Design Description;
- (c) The Legacy Data Archive Database accepts data from a county, converted by the Business Partner, to be agreed to by the parties; and
- (d) The Additional Acceptance Criteria described in Section 1.3.2.1 have been met.

The State shall deliver an Acceptance Notice within ten (10) state business days after the completion of the Production Validation Review. If the State determines that any identified Deficiencies are not sufficient to require re-conducting of the Production Validation Review, the State will Accept the Legacy Data Archive Database. The provisions of Section 1.5 of this Rider I apply.

3. System Qualification Testing

The State may, at its discretion, test CSE System software prior to the release of the software into production. Such tests may include the System Qualification Test conducted prior to the release of CSE Version 1 and CSE Version 2 software and subsequent releases of CSE Version 1 and CSE Version 2 software.

State tests, if done, will be conducted following the completion of Business Partner tests. The results of State conducted tests may be used as an input to (1) Acceptance of the Operational Readiness Assessment and Review defined in Section 2.3.3 of this Rider I; (2) a State decision to release software into production, and (3) the CSE System Acceptance Process defined in Section 2.4 of this Rider I. The State may, at its discretion, include users, such as LCSA staff, as part of the State's testing staff; re-run Business Partner tests, either in whole or in part; and develop its own tests.

The System Qualification Test duration shall be specified in the Project Schedule (CDL PM 009) and of a reasonable duration to permit testing of the CSE System software against the Specifications and to allow for re-testing of Deficiency (including Defect) corrections, if any.

4. Implementation after Acceptance

The State agrees that realization of Performance Measures as described in Rider D of this Contract will require the State to commence productive use of the System Deliverables as soon as possible after Acceptance and to actively measure the performance as described in Rider D. The State will use its reasonable best efforts to place a System Deliverable into production as soon as possible after the applicable Acceptance. Failure of the State to actively pursue implementation of a System Deliverable within the mutually agreed upon timetable after the System Deliverable has been Accepted shall be the basis for re-examination of Performance Measures as set forth more particularly in Rider D Section 4.1, Compensation Agreement.